



Australian Government

PCT/AU2004/000764

REC'D 21 JUL 2004

WIPO

PCT

Patent Office
Canberra

I, JULIE BILLINGSLEY, TEAM LEADER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. 2003903617 for a patent by YARRA RIDGE PTY LTD as filed on 11 July 2003.



WITNESS my hand this
Fourteenth day of July 2004

JULIE BILLINGSLEY
TEAM LEADER EXAMINATION
SUPPORT AND SALES

**PRIORITY
DOCUMENT**

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

PROVISIONAL APPLICATION

5

Title

A cabinet lock

10 **Applicant:**

Yarra Ridge Pty Ltd

Inventor

J Russell Watts

15

Address:

8 Ross Street, South Melbourne, Australia 3205

Address for Correspondence:

20 PO Box 275 Albert Park, Victoria 3206

Email:russell.watts@australlock.com.au25 **Signed:****Date:** July 11, 2003

The following statement is a full description of this invention, including the best method of performing it known to me:-

30

Receipt to 96905486

Field of the Invention

This invention relates to locks for displaceable wings and extends the description within the provisional application lodged 10/7/03

5 Definitions and Conventions Employed

This specification describes LOCK/S (as defined below) substantially as described herein with reference to and as illustrated in the accompanying drawings.

Throughout this specification and claims which follow, unless the context requires otherwise, the word "comprise", or variations such as "comprises" or 10 "comprising", will be understood to imply the inclusion of a stated integer or group of integers but not the exclusion of any other integer or group of integers.

Throughout this specification and claims which follow, unless the context requires otherwise, the positional prepositions such as rear, forward are used to assist in description of the preferred embodiments and with reference to the 15 accompanying drawings and have in general no absolute significance.

Throughout this specification and claims which follow, unless the context requires otherwise, the words cabinet and wing embraces both displaceable doors and windows and the word door embraces wings.

*Throughout this specification and claims which follow, unless the context requires otherwise: **latching** means displacement of the **latch-bolt** against biasing means by an engageable means (in one form comprising a **strike plate**) and subsequent displacement of the latch-bolt into engagement with the engageable means (in one form comprising an **aperture** of the strike plate) under the action of the **biasing means**; **latch-bolt** is an outwardly biased bolt capable of executing 25 **latching**; **auxiliary bolt** means an outwardly biased plunger that is operably associated with the latch bolt ; **unlatching** means withdrawal of the latch-bolt from engagement with the engageable means; **unlatching lever** is a lever or knob that is hand operable to cause the latch-bolt to become disengaged; **locking means** configuring the lock to restrain it from becoming unlatched; **deadlocking means** 30 means means to configure the lock to restrain the latch-bolt from being displaced from the configuration that it assumes when engaged with the engageable means (in the case of a rectilinearly displaceable bolt it assumes a fully extended position when engaged with the engageable means); **deadlatching** refers to automatic deadlocking of the bolt during latching of the bolt – i.e. the bolt becomes deadlocked as a result of 35 **latching**; **remote-lock** means a locking means disposed from the lock that includes a remote bolt that is operably connected to the lock – often there is an upper and a lower remote-lock situated above and below the lock; **French door** means a door*

comprising a hollow frame with a glass in-fill where the hollow within the frame is comparatively small in depth, and **security doors** means a door comprising a hollow framed with an in-fill where the hollow within the frame is comparatively small in depth and in width - some security doors having a close weaved infill material, some having expanded aluminium mesh; **lock-body** is the lock portion fitted within the hollow frame of the wing; **depth of lock-body** is the extent of the lock body in a direction parallel to the face of the door; **width of lock-body** is the extent of the lock body in a direction at right-angles to the face of the door; **free-rotation-cylinder** (also called a free-movement-cylinder) is a cylinder comprising a key operable barrel within a **cylinder housing** connected to a **first cam** (in one form [and commonly] having a radially protruding arm) with free movement, said free-rotation-cylinder preferably comprising a **double cylinder** sub-assembly comprised of opposed barrels each connected with free movement to the same first cam such that the cam is free (between limits) to be angularly displaced while the barrels remain undisplaced.. This type of (free rotation) cylinder is commonly used in security door locks in Australia - it enable the cam to be displaced by either barrel to a locking configuration and then the barrel to be reverse rotated to an undisplaced position enabling key removal while leaving the cam in the locking position. This type of cylinder is distinct from more commonly used double cylinders that employ clutches and that do not have free rotation between the barrels and first cam.

This and the other provisional applications cited in the complete applications associated with this provisional describe inventions comprising improved **complete locks** for displaceable wings and **improvements for locks** for displaceable wings, for convenience referred to herein as "**LOCK/S**" - the improvements being transportable into other locks and locking devices without being limited to the complete locks described herein.

Description of the Figures

Fig 1 is a schematic side view of a lock body with the operating lever superimposed in a pre-latching configuration,

Fig 2 is the lock of Fig 1 in the latched configuration,

Fig 3 is the lock of Fig 1 in the locked configuration,

Fig 4 is the lock of Fig 1 in the unlatched configuration,

Fig 5 is the lock of Fig 1 in the where the lever has displaced rods to operate remote locks configuration.

Fig 6 is

Fig 7 is

Description of the Preferred Embodiments

Integers include a **bolt 1** and a **casing 2**, said casing in some forms comprising a substantially hollow **box-like member 3** having a **face plate 4** in some cases attached to the casing by **screws 5** having passage through **apertures 9** while in other cases again, a **spacer** member is inserted between a separate face plate and casing to provide a lock of increased backset in which case the bolt and auxiliary bolt are of extended length;

said bolt preferably comprising a **first portion 11** (being a substantially prism-like solid) that is displaceable from the casing (by having passage through a **bolt aperture 12** in the face plate) and a **return portion 13** within the casing by which the bolt is supported, the upper and lower edges of the aperture are preferably substantially **semi-circular 15** in form to provide increased face plate strength and the upper and lower edges of the bolt are configured to conform to the aperture profile,

said bolt in some forms comprising an outwardly biased latch bolt that in some forms has a **leading end 14** portion profiled on both sides to accommodate both left hand and right hand doors wherein the leading end has curved, chamfer or otherwise profiled sides to facilitate or assist latching wherein the latch bolt is engageable on either side by a strike plate to be inwardly displaced by the strike plate during latching whereby to be and suitable both left hand and right hand hinged doors.

In some forms the bolt is deadlockable such that it cannot be displaced from the extended position by external forces.

Integers include an outwardly biased **auxiliary bolt 16** preferably comprising a **first portion 21** (being a substantially prism-like solid) that is displaceable from the casing (by having passage through a **bolt aperture 22** in the face plate) and a **return portion 23** within the casing by which it is supported, the first portion in some forms has a leading end profiled on both sides to accommodate both left hand and right hand doors wherein the **leading end 53** has curved, chamfer or otherwise profiled sides to facilitate or assist latching wherein the latch bolt is engageable on either side by a strike plate to be inwardly displaced by the strike plate during latching whereby to be and suitable both left hand and right hand hinged doors.

The return portion 23 has an **engaging shoulder 17** (that is preferably ramped in form) protruding towards an adjacent **pseudo-deadlocking slide 205** to overlap an engageable **ramped shoulder 19** of the pseudo-deadlocking slide 205

that extends towards the return portion of the auxiliary bolt. The engageable shoulder is displaced from the bolt by inwards displacement of the auxiliary bolt said displacement causing the engaging shoulder to slide along the ramp to urge the pseudo-deadlocking slide away from the bolt to thereby release the bolt to enable it to be displaced to the fully extended position by the spring 37. This arrangement is used to restrain the latch-bolt in a partly extended position shown in Fig 1 prior to latching to facilitate latching of a bolt that otherwise would protrude too far to be latched i.e. if fully extended it would protrude beyond the curved lip of a conventional strike plate. The auxiliary bolt is outwardly biased by **spring 24**. The deadlocking slide has a **leading end shoulder 201** that is engageable in **recess 202** of the bolt and it is urged towards this engagement by **spring 203**. As the bolt is retracted by lever operation the shoulder 201 displaces into the recess 202 as soon as it presents itself.

Integers further include an **unlatching rocker 20** comprising an angularly displaceable member supported at a **pivotal axis 25** defined by a **pinned extension 26** of the casing side wall 27, with a **first arm 28** extending (from the pivotal axis) **upwardly** to terminate in an **engageable shoulder 28A** while the **second arm 34** extends downwardly to overlap the return bolt portion 13 to be operably connected to the bolt such that the bolt is inwardly displaceable by angular displacement of the unlatching rocker. Preferably, the overlapping arm portion includes a sideways protruding **drive pin 35** that locates within a substantially vertical **drive slot 36** that in some embodiments is angled rearwardly as it progresses downwardly.

Integers include means to outwardly bias the latch bolt comprising a **spring 37** to urge the bolt.

~~Integers further includes at least one unlatching cam 39 connected by shaft 39A to an associated hand operable~~ **lever 41** (in forms comprising part of a **handle assembly 42** mounted to a face of the door) and having a downwardly extending **unlatching arm 43** that has towards the free end a **driving shoulder 44** that is displaceable in a forward direction by downwards lever operation to rotate the rocker 20 in a clockwise direction to cause the bolt to retract. . Each unlatching cam is preferably supported by a sideways protruding **cylindrical portion 45** that extends into a **circular aperture** in a side of the casing and each such cylindrical portion has a **shaft 47** recess to receive and mate with a **shaft 40** connected to an operable lever of a handle assembly. In some cases an **exterior lever** is connected to an outer unlatching cam by an **exterior shaft** and an **interior lever** is connected to an inner unlatching cam by an **interior shaft** while in some cases the shaft are combined as a single shaft.

Integers include an interior hand operable member (that in one form comprises a snib or **locking lever 67**) that is connected by a **spindle 68** to an angularly displaceable **locking cam 69**

Integers further include a **deadlocking slide 73** that in some cases is co operable with a fully extended bolt to restrain the bolt from being displaced from the fully extended position – in which case a **leading end 74** of the deadlocking slide engages behind an **engageable shoulder 75** of the bolt – the configuration in which the bolt and slide cooperate is referred to as the deadlocking configuration and when so engaged the deadlocking slide can be said to be in a deadlocking position (this position actually comprising a limited range of slide positions over which the bolt and slide so cooperate) .

Integers further includes an angularly displaceable **first cam 77** having a radially protruding **cam arm 78** that [as described in Watts AU 706589 and subsequent divisionals patents which are included herein by reference] comprises part of a **free-rotation-cylinder 79**.

In forms, the deadlocking slide is operably connected to the locking lever by the shaft 68 that in this case is connected to the angularly displaceable **locking cam** supported in the casing by a cylindrical portion that is supported within a circular aperture in a side wall, said locking cam having a sideways protruding **pin 81** that engages in a **horizontal slot 82** in the deadlocking slide.

Where the deadlocking slide is operably connected to the first cam the deadlocking slide has a **drive recess 83** having an **upper drive face 84** on which the first cam arm engages to drive the deadlocking cam towards the deadlocking configuration and having a **lower drive face 85** on which the cam arm engages to drive the deadlocking slide from the deadlocking configuration and an **exit shoulder 86** (preferably comprising an angled face) connected to the upper drive face disposed such that when in the deadlocking configuration the first cam can be disposed such that an end face of the cam 87 (a face of constant radius) is adjacent the exit shoulder such that the force that is applied to the first cam by the deadlocking slide when an attempt is made to move the deadlocking slide from the deadlocking configuration (as might occur in an attempt to rotate the snib lever) has a direction that passes through the pivotal axis of the cam and so the cam cannot be so rotated and the first cam in this configuration restrains the deadlocking slide.

In forms of LOCK/S there are two locking modes: a **second mode** as shown in Fig 5 characterized by the first cam arm being within the drive recess and the deadlocking slide having been displaced into the deadlocking configuration by the locking lever or by the first arm (the first arm being operably connected to a cylinder);

a first mode as shown in Fig 6 characterized by the deadlocking slide fully displaced into the deadlocking configuration and the first cam arm displaced from within the drive recess (the first arm being operably connected to a cylinder) to restrain the deadlocking slide fully displaced in the deadlocking configuration from which the deadlocking slide cannot be displaced by the locking lever.

Preferably the first cam comprises part of a free-movement-cylinder that preferably includes at least one compression spring between the cam and a barrel (located within an axial pocket of the cam) to urge the cam against (the opposed barrel or against its associated circlip or against an edge of the housing) to cause increased friction on the cam so that if the cam is displaced through engagement with another member, such as a deadlocking slide, it will come to rest soon after the deadlocking slide ceases to act – the friction acting contrary to the rotational inertia of the cam, alternatively the cylinder may include a compressed wave washer located between the cam and the adjacent circlip that retains the adjacent barrel; in either case what is important is that the cam is subject to frictional forces that gives rise to a moment that act to accelerate (negatively) a moving cam till it comes to rest and importantly, to come to rest while the cam arm is within the drive recess so that the lock does not become locked in the first locking mode]

The lock further includes drive means to operate remote locks and in particular to operate remote locks that are operated by vertically elongated drive members in some cases comprising rods – that in many cases comprise an upper remote lock connected by an upper vertically elongated drive member and a lower remote lock is connected by a lower drive member. In some forms the drive members move in the same direction and in some cases they are counteracting. The drive means accommodates both requirements.

The lock includes an angularly displaceable drive annulus 212 supported within a raised annular ring 213 (shown in part) that surrounds the annulus and being an extension to the casing side wall. Importantly the pivotal axis of the lever and drive cam 39 are within this annular member and the apertures in the casing side walls that support the cylindrical portions of the drive cam or cams are likewise within the annular member. By this location the internal lock components can be more closely located so as to fit in a smaller casing.

Protruding from this drive annulus are two drive shoulders 206 and 205 that are engageable by a drive arm 207 comprising a radially extension of the drive cam 39 and they are spaced such that when the drive annulus is undisplaced as shown in Fig 7, and the lever is undisplaced it abuts 206 and when the lever is lifted to fully displace the drive annulus the arm 207 engages 206 and displaces it and when the

like member

drive means

The pivotal axis of the member 212 is substantially in the centre of the casing side wall

lever is then returned to the undisplaced position arm 207 abuts 205 as shown in Fig 6 and when the lock is unlatched as shown in Fig 7 by pushing the lever down the arm 207 drives 205 to the undisplaced position.

Drive annulus has a recess in which a sideways protruding pin of an upper slide 221 locates to couple them and opposite a recess 222 is engaged with a pinned extension 223 of a connecting member 224 that is connected to a pin 225 comprising

227 is
connected by

part of a pivotal joint 226 that ~~pivotaly connects~~ to a vertically elongated member 221B and a rocker member 227 that at its other end is connected by a pivotal joint 228 comprising a pin that is connected to the side wall of the casing.

a pivotal
joint with
member
227

10 Rotation of the annulus in a locking direction (anti-clockwise) by lifting the lever drives the member 221B downwardly and 221 upwardly (to extend remote bolts).

In some
cases
joint 227
is coaxial
with 225
as shown
in Fig 7

15 Rotation of the annulus in a unlocking direction (clockwise) by lowering the lever (to retract the latch bolt) also drives the member 221B upwardly and 221 downwardly (to retract remote bolts). In practise the ends of these members would be adapted to be able to be connected to the rods or other elongated members that are connected to remote locks.

When the bolt is restrained by the deadlocking slide, the rocker 20 cannot be displaced so the lever cannot be operated downwardly.

20

Forms of Integers

Integers further include the integers described above further configured such that

- a) the strike plate is designed to deform as described in [Watts 671618] and such a strike plate is included herein by reference.
- b) the bolt is supported in the casing between the unlatching cam and the key operable double cylinder as shown
- c) dimension L (distance between cylinder and lever axis) is 85.00 mm whereby to render the lock compatible with door furniture of common configuration
- d) the case length (vertical length when on door - excluding face plate) l_1 is substantially the same as that of common security door locks being 150 MM
- e) $l_2 = l_1/2 = 75$ MM; i.e. the bolt is in the middle vertically of the casing (when fitted)
- f) the bolt has an overall length substantially the same as the depth of the casing

The lock is configured so a fully retracted bolt corresponds to an undisplaced annular member.

- g) the depth of the casing is relatively small being 40 + or -3 MM
- h) the width of the casing is 15 to 17 MM
- i) the bolt is rectilinearly displaceable

5.4.1 j) The integers are located so that the lock employs the "industry standard"

5 door preparation for security doors comprising a slotted aperture in the edge of the door and two apertures in each side of the door comprising vertically elongated oval shapes defined by the dimensions 39, 39, R10 and 25.7 of Fig 21]

10 These configurations impose some difficult boundary conditions on the LOCK/S that observe the conditions because:

a consequence of j) is that the all members passing between the lock and handle sets must pass through one of two oval apertures – these being the rod/s connecting lever/s to cam/s within the casing, the euro-style cylinder, the snib spindle, and the fixing bosses that extend from the underside of an upper portion and from a lower portion of the handle plate/s through which fixing screws have passage and by which the handle sets are mounted to the door. These bosses preferable extend into the lock casing to help support the lock body and/or to provide adequate threaded engagement for the screws, and

20 the requirement that dimension L (distance between cylinder and lever axis) is 85.00 mm further qualifies a) above because the cylinder and lever axis must also fall within an oval side aperture in the door.

a) the above restrictions are extended by) which requires the casing to have a length of 115 MM and by l) which defines the depth of the casing.

25 b) Because a rectilinear bolt (by convention and trial) needs to extend at least ½" to perform its normal function and because it is preferable for security door locks to extend even further and because it is desirable for the fully extended bolt to be supported by a portion of length not too dissimilar from the extended length H) is proposed, this restriction placing requirements on the integers responsible for bolt displacement and restrictions on integers competing for space adjacent the bolt; and when these restrictions are combined with d) above a consequence arises that a fully retracted bolt extends inwardly to a depth not too dissimilar to the depth of the casing placing restrictions on the length and width of the bolt and restrictions on integers competing for space adjacent the bolt.

30 c) similarly,) defines a limit imposed by the requirement for the lock body to fit within an extrusion of 16.00 MM nominal width; this restriction on casing width of 15.5 MM (a comparatively small width for a door lock) placing

restrictions on the width of the bolt and restrictions on integers competing for space adjacent the bolt.

LOCK/S further include a substantially conventional strike plate that is modified as shown in Fig 17, said strike plate comprising an aperture 130

engageable with the extended bolt and including a front aperture edge 131 against which the bolt pushes if one attempts to open a locked door. The aperture is within a substantially flat plate-like portion 132 extending from between a lower slot 133 to an upper slot 133 and connected to a blade 134 that preferably comprises an angled or curved blade. The blade is connected by bridges 135 of reduced cross-sectional area 156 and the strike is of a deformable material enabling these bridges to deform without cracking and the reduced area enables deformation to occur at reduced forces – these characteristics enabling the blade to be angularly displaced about an axis 157 that passes substantially through each bridge.

The bridges connect to fixable portions 158 that include apertures 159 through which screws shanks have passage and by which the fixable portion is attached to a doorjamb. In some types of deformation the fixable portions angularly displace about the screw – this displacement being afforded by the reduced strength of the bridge portion that deforms to accommodate such displacement.

The blade of this design supports the front edge and the blade is only attached at each end (by fixable portions) to the doorjamb thereby being deformable like a bow and at moderate forces.

The upper and lower extremes of the plate-like portion 132 (that portion between the aperture 130 and the slot 133), portions 140 are of reduced cross-sectional area to enable these portions to deform under low forces so as to deform as the blade portion angularly displaces – these portions engaging the face of the lock as the bridge portions deform to enable the blade to displace.

When a closed and locked door is urged open under the action of a jemmy placed adjacent the bolt, the bolt is forced against the front edge while the lock is simultaneously displaced away from the strike plate and in this case the bolt drags the front edge (while deforming the blade) with it to remain engaged – and in this case the bridges may deform and move closer together (through rotation) to enable the blade to further deform to enable the front edge to further displace.

If the jemmy rests on the strike plate as it is rotated then this action causes the blade to angularly displace to deform the bridges. Typical deformation is as shown in Fig 21

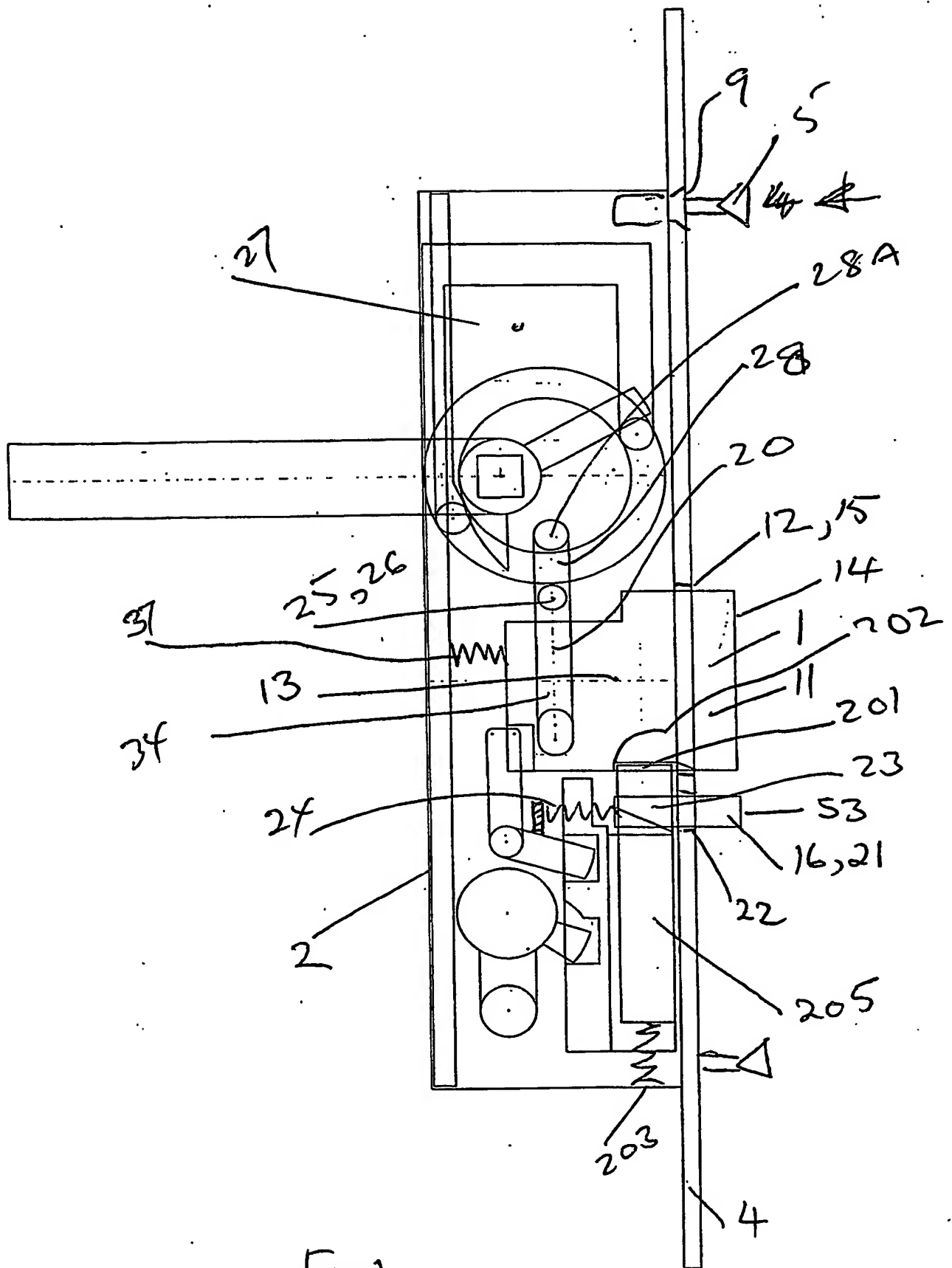
When a closed and locked door is urged open under the action of a jemmy placed adjacent the bolt, the bolt is forced against the front edge while the lock is

simultaneously displaced away from the strike plate in general and in this case the bolt drags the front edge (while deforming the blade) with it to remain engaged with it – and in this case the bridges may further deform and effectively move closer together (through rotation) to enable the blade to further deform to enable the front edge to further displace.

5

By this action the bolt is able to cause the strike plate front edge to displace with it whereby to maintain engagement between the bolt and strike plate while the screws attaching the strike plate to the door jamb are subjected to lower forces and are less likely to pull out than they would in a conventional strike plate]

10





ATTACHED IN STRIKE

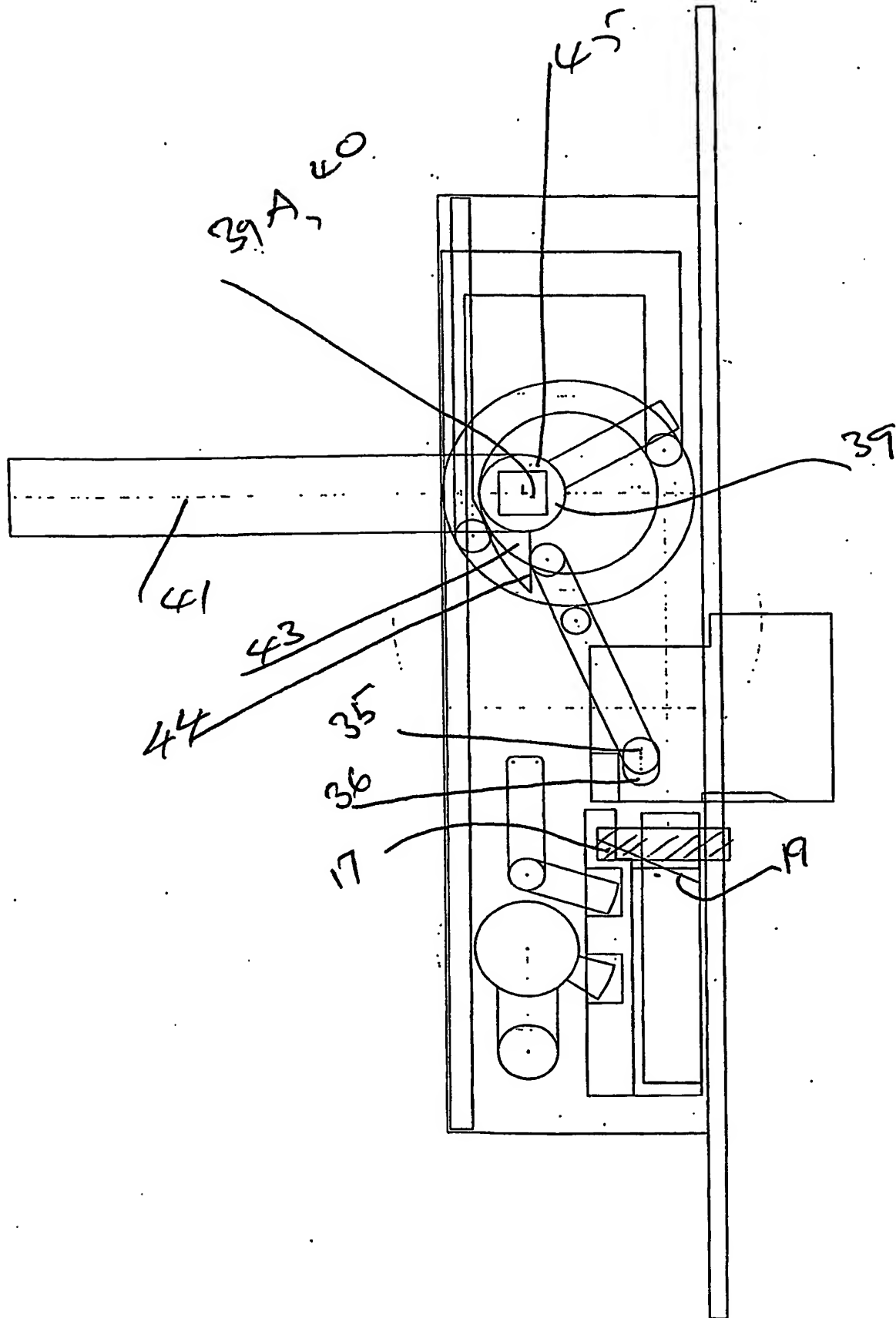


Fig 2

2. T DEADLOCKED ON: 1

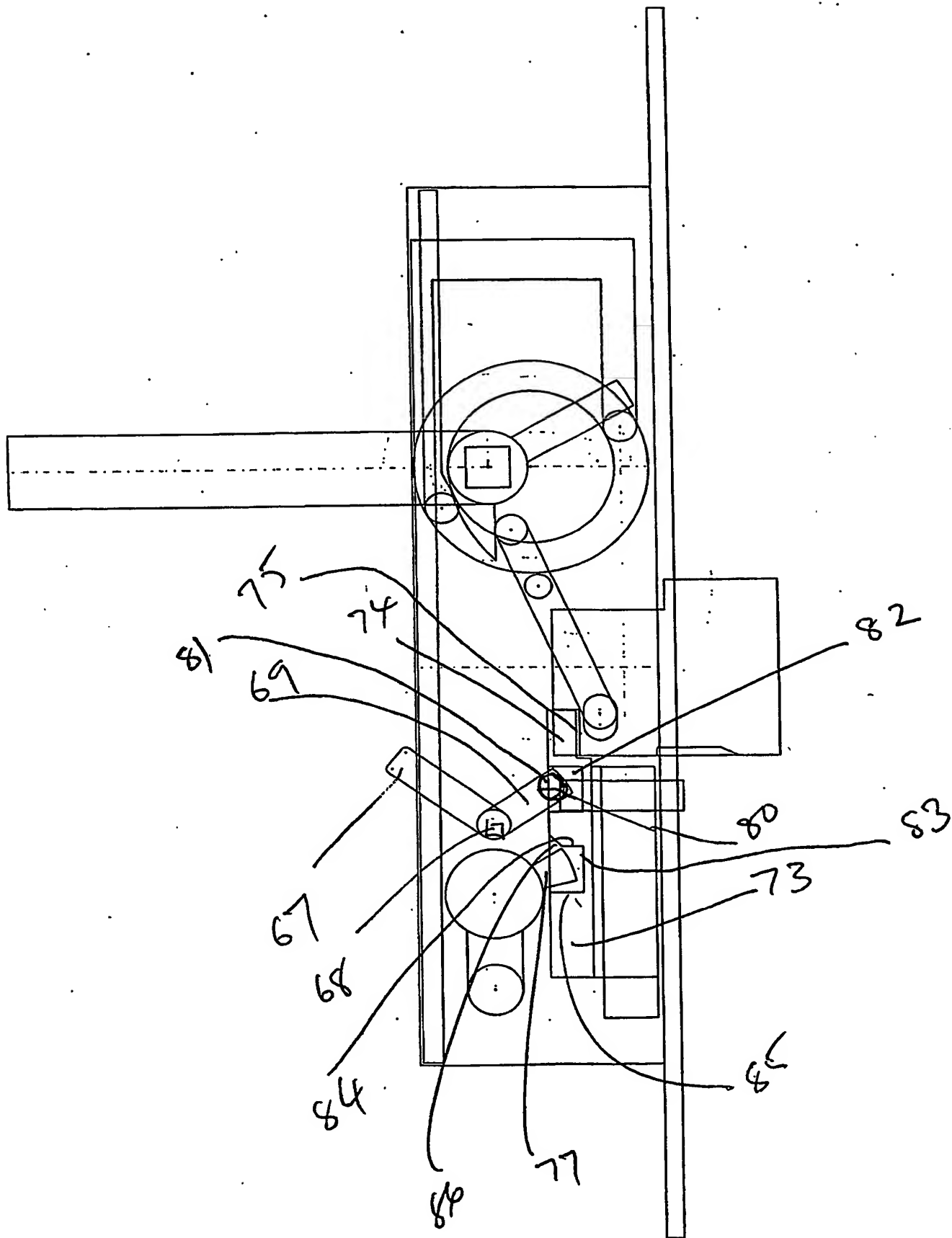


Fig 3

BOLT RETRACTED

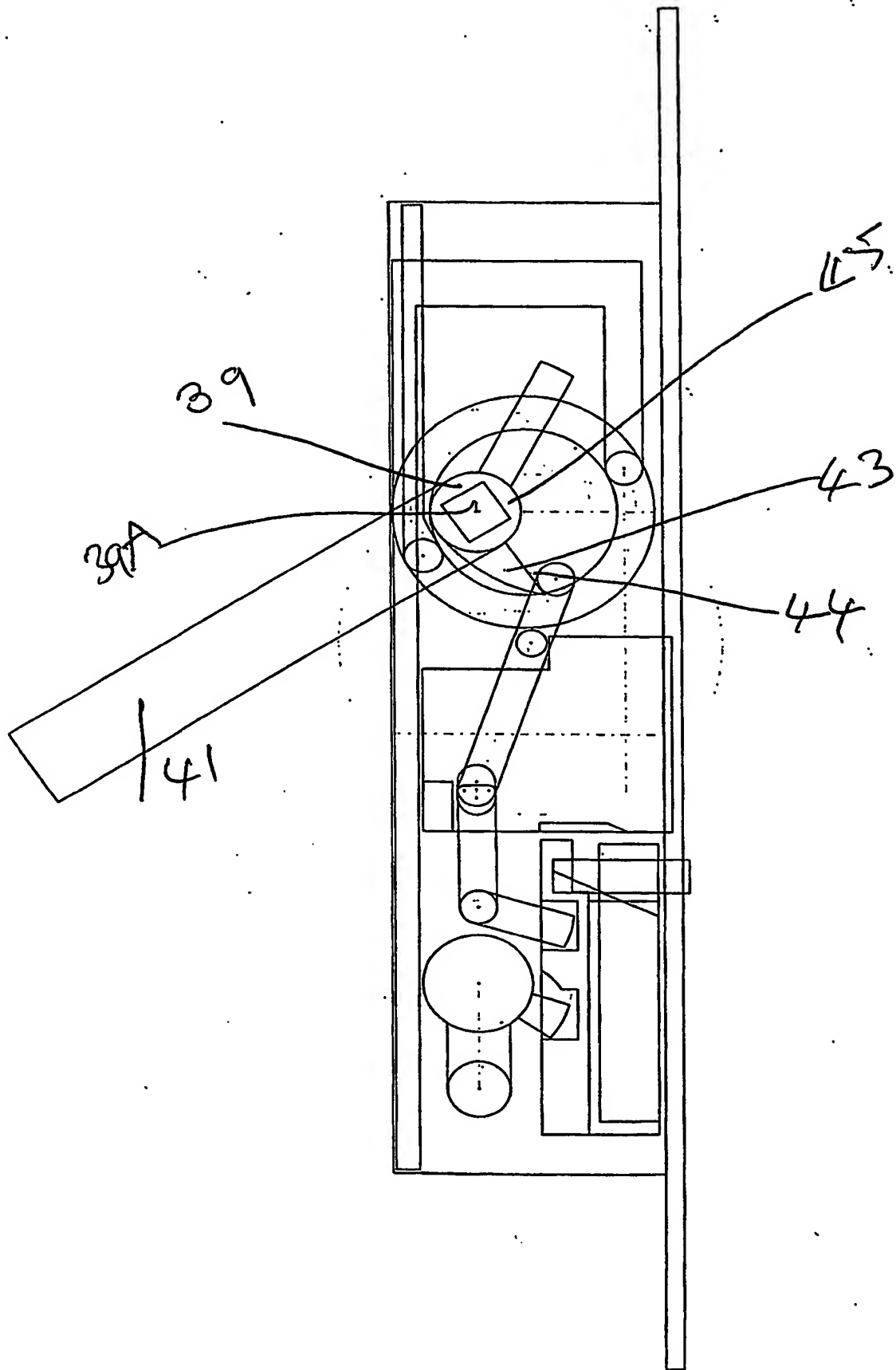


Fig 4

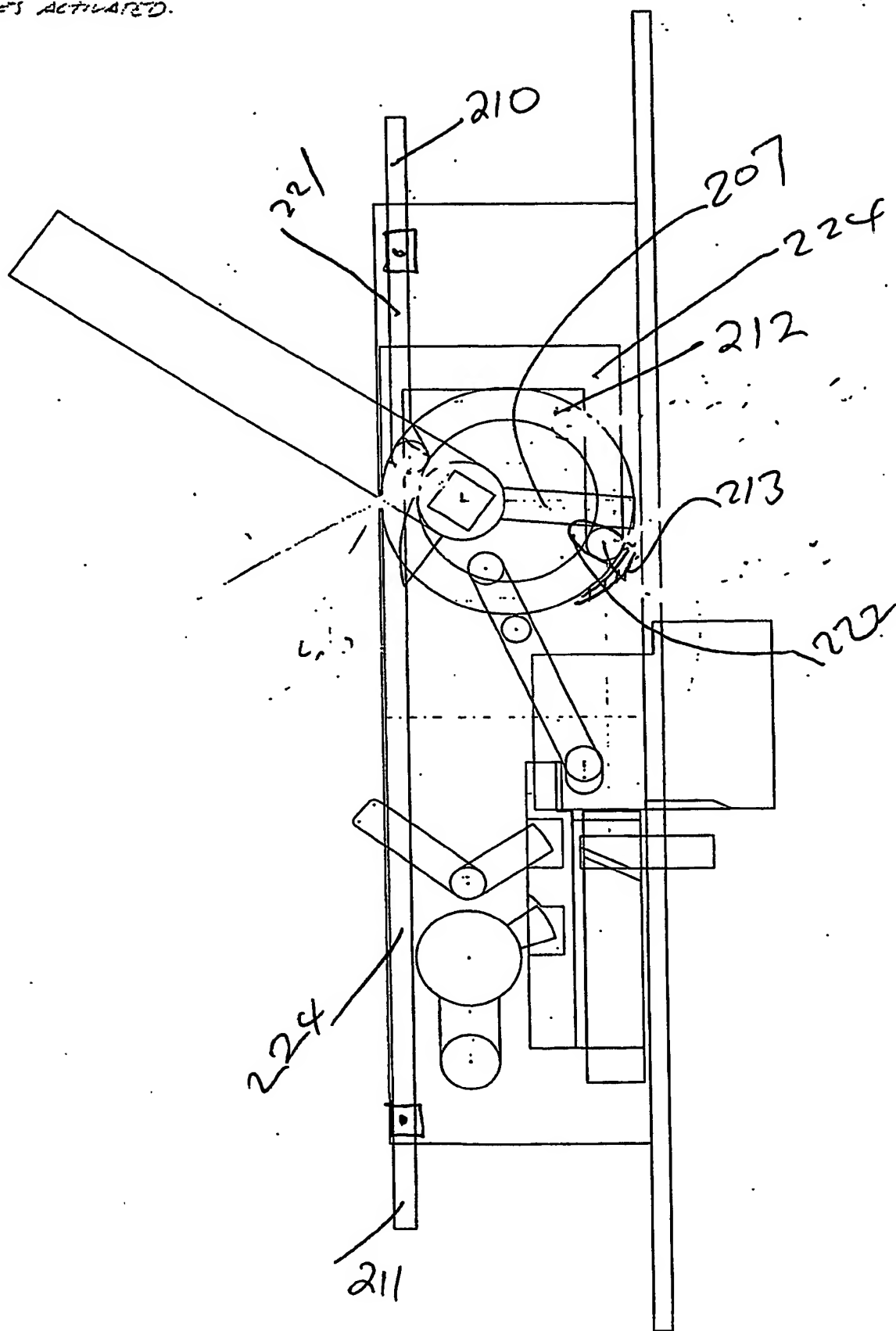
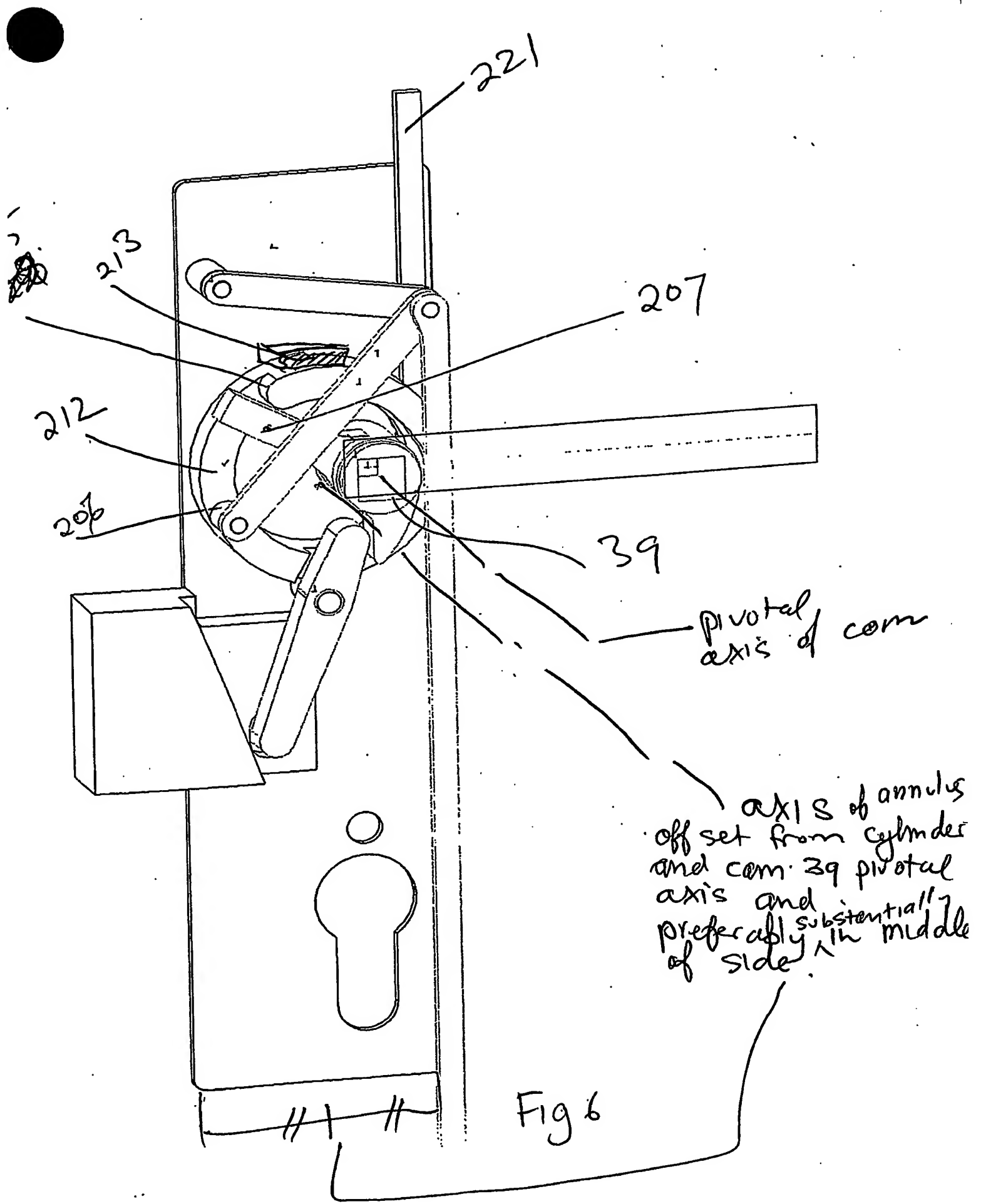


Fig 5



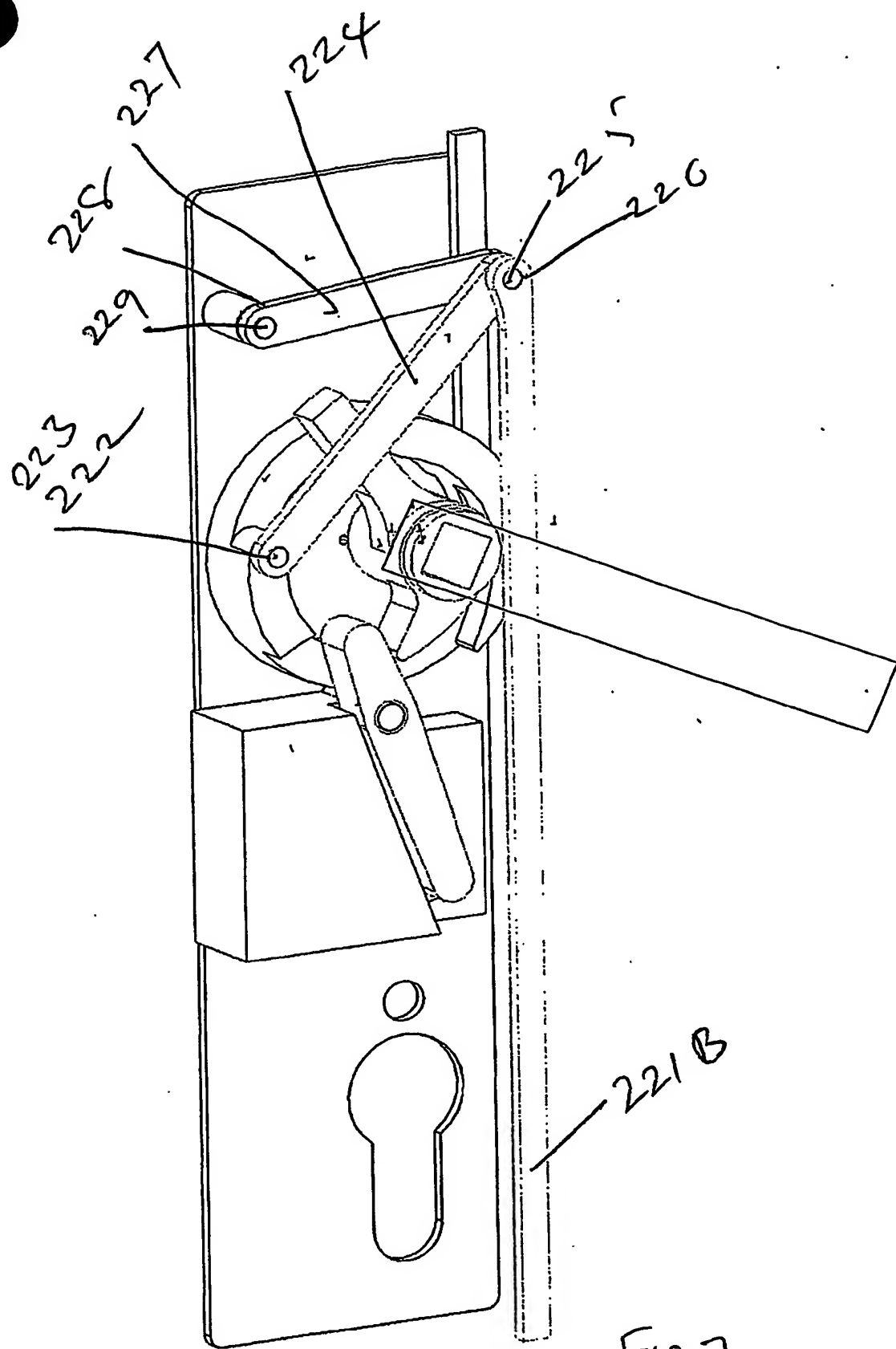


Fig 7

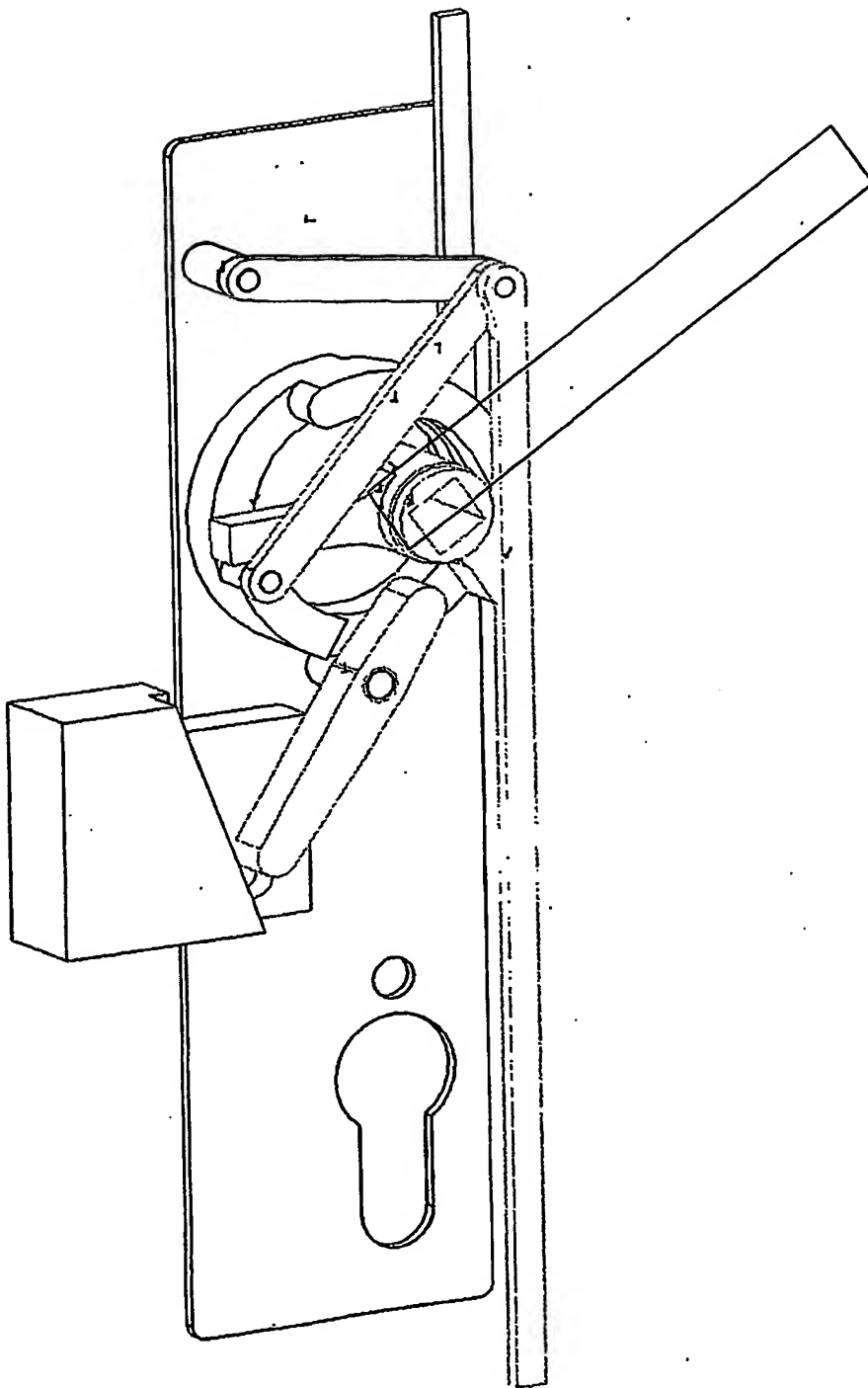


Fig 8

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.